

#### PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE #10

In re application of

Docket No: Q63075

Kazunobu FUJIKAWA, et al.

Appln. No.: 09/763,194

Group Art Unit: 1725

Confirmation No.: 3832

Examiner: Maria Alexandra ELVE

Filed: February 20, 2001

For:

METHOD AND APPARATUS FOR SURFACE DISCHARGE PROCESSING, AND AN

ELECTRODE FOR SURFACE DISCHARGE PROCESSING

STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

TO 1200 STORY That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W.

Washington, D.C. 20037-3213 Telephone: (202) 293-7060

Facsimile: (202) 293-7860

Date: November 5, 2002

Christopher R. Lipp Registration No. 41,157

Attorney Docket No.: Q63075



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## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/763,194

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a Communication from a

foreign patent office in a counterpart application citing such documents, together with an

English-language version (if not already included) of that portion of the Communication

indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

SUGHRUE MION, PLLC

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Date: November 5, 2002

Attorney Docket No.: Q63075

Christopher R. Lipp

Registration No. 41,157

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

1 10/05/00 It & B (Indulica)				
Complete if Known				
Application Number	09/763,194			
Confirmation Number	3832			
Filing Date	February 20, 2001			
First Named Inventor	Kazunobu FUJIKAWA			
Art Unit	1725			
Examiner Name	Maria Alexandra ELXE			
Attorney Docket Number	Q63075			

	U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date			
		Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of ited Document		
		US			12 18		
		US			0		
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		US					
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.¹	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
		DE	39 91 622	T1	04/04/1991	MITSUBISHI DENKI K. K.	no
		JР	62 243778 -,	Α	10/24/1987	INOUE JAPAX RES INC	abstract
		JР	09-150319	Α	06/10/1997	HITACHI CABLE LTD	abstract
		JР	64-045524	A	05/20/1989	HODEN SEIMITSU KAKO	abstract
-		JР	63 007229 .	A	01/13/1988	MITSUBISHI ELECTRIC CORP	abstract

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>		
		<u>                                     </u>		
		Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine.		

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.